PTO/SB/21 (08-03)

Under the Paperwork Reduction Act of 1995	U.S. Patent a	Approved for use through 07/31/2006. OMB 0651-0031 and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995.	Application Number	of information unless it displays a valid OMB control number. 10/682,184
TRANSMITTAL	Filing Date	10/09/2003
FORM PORM	First Named Inventor	Lee A. Core
(to be used for all correspondence after initial t	filing) Art Unit	3763
	Examiner Name	ч ТВА
Total Number of Pages in This Submission	Attorney Docket Number	106586.170US2
	ENCLOSURES (Check all that a)	pply)
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks  1. PTO Form 1449 (2 pgs.) 2. 51 Publications	After Allowance communication to Group  Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): - Postcard
SIGNA	TURE OF APPLICANT, ATTORNE	Y, OR AGENT
Firm or Individual name Signature Date  MARC	Renald Demsher	
	ERTIFICATE OF TRANSMISSION/N	MAILING
I hereby certify that this correspondence is be sufficient postage as first class mail in an env	eing facsimile transmitted to the USPTO or de	eposited with the United States Postal Service with hts, P.O. Box 1450, Alexandria, VA 22313-1450 on

This collection of information is required by 37 CFR 1.5. The information is required to detain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## PATENT



Applicant:

Lee A. Core

Examiner: TBA

Serial No.:

10/682,184

Group Art Unit: 3763

Filed:

October 9, 2003

For:

HEMOSTASIS VALVE

Atty. Docket No.:

106586.170US2

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on March 4, 2004.

Tina M. Dougal

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.97, Applicant hereby makes of record the publications listed on the attached Form PTO-1449 and enclose copies herewith.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

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This submission does not represent that a search has been made and does not constitute an admission that the listed publications are material to patentability or that the listed publications are prior art.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications should the publication be applied against the claims of the present application.

It is Applicant's belief that the filing of this Information Disclosure Statement precedes the date of the mailing of the first Office Action on its merits; therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed to be due.

In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Ronald Demsher

Registration No. 42,478 Attorney for Applicant

Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6548 (telephone) (617) 526-5000 (facsimile) Subt. For,
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## INFORMATION DISCLOSURE IN AN APPLICATION

(Use several sheets if necessary)

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OF

2.

Docket Number 106586.170US2 Application Number 10/682,184

Applicant Lee A. Core

Filing Date

Group Art Unit

October 9, 2003 3763

		U.S	S. Patent Docume	nts		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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INITIAL	NUMBER					YES	NO
	WO 99/18862	04/22/1999					
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	WO 99/18870	04/22/1999					
	WO 99/18871	04/22/1999					
-	WO 03/022159	03/20/2003					·

EXAMINER	DATE CONSIDERED
	DATE CONCIDENCE
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INFORMATION DISCLOSURE
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Docket Number
106586.170US2

Applicant
Lee A. Core

Filing Date

Group Art Unit

October 9, 2003

3763

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OF

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Sheet

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EXAMINER	DATE CONSIDERED

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